

8-4-05

2152

PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number

09/998,898

Filing Date

November 30, 2001

First Named Inventor

Shawn P. Delany, et al.

Art Unit

2152

Examiner Name

Unassigned

Previous Docket Number

OBLX-01036US0

New Townsend Docket No.

21756-013300

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (1 page) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Return Postcard 2. Statement Under 37 CFR 3.73(b) (1 page) 3. Copy of Confirmatory Patent Assignment and attached Schedule A (4 pages)
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	William J. Daley		
Date	August 2, 2005	Reg. No.	52,471

**CERTIFICATE OF TRANSMISSION/MAILING**

Express Mail Label: EV 720987233 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date August 2, 2005 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature			
Typed or printed name	Sara B. McPeak	Date	August 2, 2005

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

**51206**

OR



Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

**51206**

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone			Email


Assignee Name and Address:

**Oracle International Corporation  
500 Oracle Parkway, Mail Stop 50P7  
Redwood Shores, CA 94065**

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	July 12, 2005
Name	Sanjay Prasad	Telephone	650-633-8972
Title	Vice President		

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: DELANY, ET AL.Application No./Patent No.: 09/998,898Filed/Issue Date: November 30, 2001Entitled: **POLICIES FOR MODIFYING GROUP MEMBERSHIP**ORACLE INTERNATIONAL CORPORATION, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: DELANY, ET AL. To OBLIX, INC.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012667, Frame 0074, or for which a copy thereof is attached.
2. From: OBLIX, INC. To ORACLE CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: ORACLE CORPORATION To ORACLE INTERNATIONAL CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of Confirmatory Patent Assignment with Schedule A are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

August 2, 2005

Date

William J. Daley, Reg. No. 52,471

Printed or Typed Name

(303) 571-4000

Telephone Number

\_\_\_\_\_  
Attorney of Record\_\_\_\_\_  
Title

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**CONFIRMATORY PATENT ASSIGNMENT**

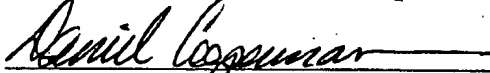
**WHEREAS**, by virtue of a Plan of Reorganization entered into by **Oblix, Inc.**, a Delaware corporation, with a former place of business at 18922 Forge Drive, Cupertino, California 95014, hereinafter referred to as "**Assignor**," **Oracle Corporation**, a Delaware corporation, with its place of business at 500 Oracle Parkway, Redwood Shores, California 94065, hereinafter referred to as "**Oracle Corporation**," **Oracle USA, Inc.**, a Colorado corporation, with a place of business at One Civic Center Plaza, 1560 Broadway, Denver, Colorado 80202, **Confluent Software, Inc.**, a Delaware corporation, with a former place of business at 21070 Homestead Road, Suite 205, Cupertino, California 95014, **Oracle Technology Company**, an Ireland company, with a place of business at 500 Oracle Parkway, Redwood Shores, California 94065, and **Oracle International Corporation**, a California corporation, with a place of business at 500 Oracle Parkway, Redwood Shores, California 94065, hereinafter referred to as "**Assignee**," on April 29, 2005, certain steps were effected in a series of transactions for the reorganization of Oracle Corporation and certain of its subsidiaries, which transactions were to include the merger of **Assignor** with and into **Oracle Corporation**, with **Oracle Corporation** as the surviving entity; and

**WHEREAS** by virtue of an IP Transfer Agreement entered into by **Assignor**, **Assignee** and **Oracle Corporation**, on May 2, 2005, **Assignor** has sold, assigned, transferred and set over, unto **Assignee**, its successors, legal representatives and assigns, **Assignor's** entire right, title and interest in, to, and under the issued patents and provisional and non-provisional pending applications listed on the attached Schedule A, as well as any divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for inventions embodied by said pending applications in any country or countries foreign to the United States, and all Letters Patent which may be granted for said inventions embodied by said pending applications in any country or countries foreign to the United States and all extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of said pending applications in the United States which are created by any law, treaty or international convention, together with the right to sue for and recover damages for past infringement of any such patents.

The Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty is to issue patents on any such applications as aforesaid, is hereby authorized and requested to issue all Letters Patent for said inventions to **Oracle International Corporation**, its successors, legal representatives and assigns, in accordance with the terms of these agreements.

IN WITNESS WHEREOF, Oracle Corporation (as the successor to Oblix, Inc.) has caused this instrument to be signed by a duly authorized corporate officer and its corporate seals to be affixed, as of this 8<sup>th</sup> day of July, 2005.

Oracle Corporation, a Delaware Corporation

By: 

Name: Daniel Cooperman

Title: Senior Vice President, General Counsel  
and Secretary

IN WITNESS WHEREOF, Oracle International Corporation has caused this instrument to be signed by a duly authorized corporate officer and its corporate seals to be affixed, as of this 8<sup>th</sup> day of July, 2005.

Oracle International Corporation, a California Corporation

By: 

Name: Sanjay Prasad

Title: Vice President

## SCHEDULE A

TTC Client No.	TTC Matter No.	Oracle Client Number	Serial No.	Filing Date	Title
021756	010500	OBLX-01010USI	60/258,087	12/22/00	INTEGRATED IDENTITY AND ACCESS SYSTEMS WITH GROUP AND ORGANIZATION MANAGERS
021756	010510	OBLX-01010US2	60/285,524	4/20/01	INTEGRATED IDENTITY AND ACCESS MANAGEMENT SYSTEMS WITH GROUP
021756	010600	OBLX-01011USO	09/792,918	2/26/01	ACCESS TESTER
021756	010700	OBLX-01012USO	09/792,911	2/26/01	CACHE FLUSHING
021756	010800	OBLX-01013USO	09/793,196	2/26/01	POST DATA PROCESSING
021756	010900	OBLX-01014USO	09/793,658	2/26/01	USER AUTHENTICATION
021756	011000	OBLX-01015USO	09/793,354	2/26/01	LOCALIZED ACCESS
021756	011100	OBLX-01016USO	09/793,355	2/26/01	QUERY STRING PROCESSING
021756	011200	OBLX-01017USO	09/792,915	2/26/01	LOGGING ACCESS SYSTEM EVENTS
021756	011300	OBLX-01018USO	09/792,934	2/26/01	PROVIDING DATA TO APPLICATIONS FROM AN ACCESS SYSTEM
021756	011500	OBLX-01020USO	09/793,320	2/26/01	INTRUSION THREAT DETECTION
021756	011600	OBLX-01021USI	10/340,218	8/23/99	METHOD AND APPARATUS FOR IMPLEMENTING A CORPORATE DIRECTORY AND SERVICE CENTER (continuation of USO)
021756	011610	OBLX-01021USO	09/379,564	8/23/99	METHOD AND APPARATUS FOR IMPLEMENTING A CORPORATE DIRECTORY AND SERVICE CENTER
021756	011700	OBLX-01022USO	09/814,091	3/21/01	ACCESS SYSTEM INTERFACE
021756	011800	OBLX-01023USO	09/886,515	6/21/01	AUTHORIZATION SERVICES WITH EXTERNAL AUTHENTICATION
021756	011900	OBLX-01024USO	09/998,910	11/30/01	TEMPLATE BASED WORKFLOW DEFINITION
021756	012000	OBLX-01025USO	09/998,916	11/30/01	PROXY SYSTEM
021756	012100	OBLX-01026USO	09/998,908	11/30/01	SUPPORT FOR MULTIPLE DATA STORES
021756	012200	OBLX-01027USO	09/998,915	11/30/01	IMPERSONATION IN AN ACCESS SYSTEM
021756	012300	OBLX-01028USO	09/998,926	11/30/01	DETERMINING GROUP MEMBERSHIP
021756	012400	OBLX-01029USO	09/997,410	11/30/01	PREPARING OUTPUT XML BASED ON SELECTED PROGRAMS AND XML TEMPLATES
021756	012600	OBLX-01030USO	09/997,408	11/30/01	SERVICING FUNCTIONS THAT REQUIRE COMMUNICATION BETWEEN MULTIPLE SERVERS
021756	012700	OBLX-01031USO	09/998,896	11/30/01	REQUEST BASED CACHING OF DATA STORE DATA
021756	012800	OBLX-01032USO	09/998,893	11/30/01	EMPLOYING ELECTRONIC CERTIFICATE WORKFLOWS
021756	013000	OBLX-01033USO	09/998,895	11/30/01	DOMAIN BASED WORKFLOWS
021756	013100	OBLX-01034USO	09/999,074	11/30/01	WORKFLOWS WITH ASSOCIATED PROCESSES
021756	013200	OBLX-01035USO	09/999,177	11/30/01	DETERMINING A USER'S GROUPS
021756	013300	OBLX-01036USO	09/998,898	11/30/01	POLICIES FOR MODIFYING GROUP MEMBERSHIP
021756	013400	OBLX-01037USO	09/997,409	11/30/01	RUNTIME MODIFICATION OF ENTRIES IN AN IDENTITY SYSTEM
021756	013500	OBLX-01038USO	09/998,894	11/30/01	DELIVERING OUTPUT XML WITH DYNAMICALLY SELECTABLE PROCESSING
021756	013600	OBLX-01039USO	09/998,914	11/30/01	OBTAINING AND MAINTAINING REAL TIME CERTIFICATE STATUS
021756	013800	OBLX-01042USO	10/146,150	5/15/02	PROVISIONING BRIDGE SERVER

TTC Client No.	TTC Matter No.	Oracle Client Number	Serial No.	Filing Date	Title
021756	013900	OBLX-01043USO	10/145,884	5/15/02	EMPLOYING JOB CODE ATTRIBUTES IN PROVISIONING
021756	014101	OBLX-01046US1	60/395,152	7/11/02	ACCESS AND IDENTITY SYSTEM
021756	014102	OBLX-01047USO	10/345,873	1/16/03	EMPLOYING LOCAL DATA STORES TO MAINTAIN DATA DURING WORKFLOW
021756	014103	OBLX-01048USO	10/354,914	1/30/03	PORTAL BRIDGE
021756	014104	OBLX-01049USO	10/327,607	12/20/02	RULE BASED DATA MANAGEMENT
021756	014105	OBLX-01050USO	10/328,920	12/23/02	IDENTIFYING DYNAMIC GROUPS
021756	014106	OBLX-01051USO	10/354,913	1/30/03	AUTOMATIC CONFIGURATION OF ATTRIBUTE SETS
021756	014107	OBLX-01052USO	10/314,888	12/9/02	SUPPORT FOR MULTIPLE MECHANISMS FOR ACCESSING DATA STORES
021756	014108	OBLX-01053USO	10/345,879	1/16/03	SECURELY PERSISTING NETWORK RESOURCE IDENTIFIERS
021756	014109	OBLX-01054USO	10/325,438	12/20/02	EMPLOYING WRAPPER PROFILES
021756	014110	OBLX-01055USO	10/325,465	12/19/02	SUPPORTING MULTIPLE APPLICATION PROGRAM INTERFACES
021756	014200	OBLX-01056USO	10/682,575	10/9/03	SUPPORT FOR RDBMS IN AN LDAP SYSTEM
021756	014400	OBLX-01057USO	10/682,252	10/9/03	TRANSLATING DATA ACCESS REQUESTS
021756	014500	OBLX-01058USO	10/680,330	10/7/03	PARTITIONING DATA ACCESS REQUESTS
021756	014600	OBLX-01062USO	10/952,592	9/28/04	MULTI-LANGUAGE SUPPORT FOR ENTERPRISE IDENTITY AND ACCESS MANAGEMENT
21756	015100US		10/751,333	1/2/2004	INTEGRATION OF CONTEXT-SENSITIVE RUN-TIME METRICS INTO INTEGRATED DEVELOPMENT ENVIRONMENTS
21756	015200US		10,866,508	6/12/2004	POLICY BASED SERVICE MANAGEMENT

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